

RECEIVED
CENTRAL FAX CENTER

OCT 10 2006

Gates & Cooper LLPHoward Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, California 90045**FAX TRANSMISSION TO USPTO**TO: Commissioner for Patents
Attn: Examiner Cindy Nguyen
Patent Examining Corps
Facsimile Center
Alexandria, VA 22313-1450FROM: George H. Gates
OUR REF.: STL919990184US3
TELEPHONE: (310) 642-4146Total pages, including cover letter: 15PTO FAX NUMBER: 571-273-8300

If you do NOT receive all of the pages, please telephone us at (310) 641-8797, or fax us at (310) 641-8798.

Title of Document Transmitted:	TRANSMITTAL DOCUMENTS (2) AND AMENDMENT UNDER 37 C.F.R. §1.111
Applicant:	David E. Simmen
Serial No.:	09/669,556
Filed:	September 26, 2000
Group Art Unit:	2161
Title:	QUERY OPTIMIZATION TECHNIQUE FOR OBTAINING IMPROVED CARDINALITY ESTIMATES USING STATISTICS ON PRE-DEFINED QUERIES
Our Ref. No.:	STL919990184US3

Please charge all fees to Deposit Account No. 09-0460 of IBM Corporation, the assignee of the present application.

By: 

Name: George H. Gates

Reg. No.: 33,500

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.



Signature

10 OCT 2006

Date

GHG/kmk

G&C 30571.272-US-U1

RECEIVED
CENTRAL FAX CENTER

OCT 10 2006

Due Date: October 10, 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David E. Simmen Examiner: Cindy Nguyen
Serial No.: 09/669,556 Group Art Unit: 2161
Filed: September 26, 2000 Docket: STL919990184US3
Title: QUERY OPTIMIZATION TECHNIQUE FOR OBTAINING IMPROVED CARDINALITY
ESTIMATES USING STATISTICS ON PRE-DEFINED QUERIES

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trademark Office on October 10, 2006.

By: George H. Gates
Name: George H. Gates

MAIL STOP AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing or Transmission under 37 CFR 1.8.
☒ Amendment Under 37 C.F.R. §1.111.

CLAIMS PRESENT

Claims Remaining:	Highest Number Previously Paid For:	Number Extra	Rate	Fee
Total Claims				
27	30	0	x \$50.00	= \$0.00
Independent Claims				
3	3	0	x \$200.00	= \$0.00
MULTIPLE DEPENDENT CLAIM FEE				\$0.00
TOTAL FILING FEE				\$0.00

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 09-0460 of IBM Corporation, the assignee of the present application. A duplicate of this paper is enclosed.

Customer Number 45729
GATES & COOPER LLP
Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, CA 90045
(310) 641-8797

By: George H. Gates
Name: George H. Gates
Reg. No.: 33,500
GHG/kmk

G&C 30571.272-US-U1

OCT 10 2006

Due Date: October 10, 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David E. Simmen Examiner: Cindy Nguyen
 Serial No.: 09/669,556 Group Art Unit: 2161
 Filed: September 26, 2000 Docket: STL919990184US3
 Title: QUERY OPTIMIZATION TECHNIQUE FOR OBTAINING IMPROVED CARDINALITY
 ESTIMATES USING STATISTICS ON PRE-DEFINED QUERIES

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trademark Office on October 10, 2006.

By: [Signature]
 Name: George H. Gates

MAIL STOP AMENDMENT
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing or Transmission under 37 CFR 1.8.
☒ Amendment Under 37 C.F.R. §1.111.

CLAIMS PRESENT

Claims Remaining:	Highest Number Previously Paid For:	Number Extra	Rate	Fee
Total Claims				
27	30	0	x \$50.00	= \$0.00
Independent Claims				
3	3	0	x \$200.00	= \$0.00
MULTIPLE DEPENDENT CLAIM FEE				\$0.00
TOTAL FILING FEE				\$0.00

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 09-0460 of IBM Corporation, the assignee of the present application. A duplicate of this paper is enclosed.

Customer Number 45729
GATES & COOPER LLP
 Howard Hughes Center
 6701 Center Drive West, Suite 1050
 Los Angeles, CA 90045
 (310) 641-8797

By: [Signature]
 Name: George H. Gates
 Reg. No.: 33,500
 GHG/kmk

G&C 30571.272-US-U1

RECEIVED
CENTRAL FAX CENTER

OCT 10 2006

Due Date: October 10, 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David E. Simmen Examiner: Cindy Nguyen
Serial No.: 09/669,556 Group Art Unit: 2161
Filed: September 26, 2000 Docket: STL919990184US3
Title: QUERY OPTIMIZATION TECHNIQUE FOR OBTAINING IMPROVED
CARDINALITY ESTIMATES USING STATISTICS ON PRE-DEFINED
QUERIES

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trademark Office on October 10, 2006.

By: 

Name: George H. Gates

AMENDMENT UNDER 37 C.F.R. §1.111

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action dated July 10, 2006, please amend the above-identified application as follows.